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USPTO FACSIMILE TRANSMITTAL SHEET

Page 1 of 1

Docket No.: CEDAR.001A

CUSTOMER NO. 20995

Applicant

Neal L. Eigler et al.

App. No.

10/628,880

Knobbe Martens Olson & Bear LLP

Intellectual Property Law

Filed

July 28, 2003

For

METHODS AND APPARATUS FOR

ATRIOVENTRICULAR VALVE REPAIR

Examiner

Unknown

Group Art Unit

: 3763

CERTIFICATE OF FAX TRANSMISSION

I hereby certify that this correspondence and all marked attachments are being transmitted via facsimile to the USPTO Central Fax No. (703) 872-9306 on the date shown below:

May 17, 2004

Gerard von Hoffmann, Kry. No. 33,043

Transmitted herewith for filing and consideration in the above-referenced application are the following items:

- (X) Supplemental Information Disclosure Statement in one (1) page, including:
  - (X) Form 1449 in (1) page.
- (X) Total pages in transmission: 3

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

Gerard von Hoffmann Registration No. 33,043 Attorney of Record Customer No. 20,995

(949) 760-0404

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Customer No. 20,995 Docket No.: CEDAR.001A

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant

Neal L. Eigler et al.

App. No.

: 10/628,880

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: METHODS AND APPARATUS FOR

ATRIOVENTRICULAR VALVE REPAIR

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Unknown

Group Art Unit

3763

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Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing two (2) references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003.

Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

## CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 5/11/04

Registration No. 33,043

Attorney of Record

Customer No. 20,995

(949) 760-0404

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FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT					TY, DOCKET NO, CEDAR,001A		APPLICATION NO. 10/628,880			
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	2	6,312,446 B1	11/2001	Huebsch	et al.			1		
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